June 22, 2016 - Deadlocks and Devices

## Deadlocks

- Resource allocation
- Detecting deadlocks
- Resolving deadlocks

Devices

- Hosts and controllers
- I/O
- Drivers

System Model

- Process
- Resources
- Requests

■ Request
■ Use
■ Release

## Deadlock Characterization

1. Mutual Exclusion - resources cannot be shared, a second request must be delayed
2. Hold and wait - a process must be holding a resource and waiting for another resource
3. No preemption - resources cannot be taken from a process once it has them
4. Circular waiting - for a set of process $\{p 1 \ldots . . p n\}$ then $p 1$ must wait for $p 2$ which must for $p 3$... which must wait for Pn which must wait for P1

Resource Allocation Graphs


When request edge success = assignment edge (when is done remove it)


Problem: every process is waiting

Handling Deadlocks:

- Let be deadlocks -> let's fix them
- We don't care (not terrible way)
- Developers manage resources
- Not worth time


Prevent Deadlocks:

- Break one of the four characterization
- Mutual Exclusion
- Keep this one
- Hold and Wait (a little wasteful of resources)
- Require a process request and be allocated all of its resource out the same time
- If it gets one resource. It gets them all
- No Preemption
- If a process requests a resource and must wait it must give up all resource it holds
- Good for easily, saved resources
- Like a CPU
- Harder for more complex resources
- Like a mutex
- Circular Waiting
- Create a total order of all resources
- Require process to request resources in increasing order
- \{R1...Rn\} F:R ->N
- $F($ tape $)=1$
- $F($ disk $)=2$
- F (printers $)=12$
- Protocol
- A process can initially request any resource but it ran only request resource with higher $F(R)$ value then last received after the first


## Deadlock Avoidance

- If we have more information about the behavior of a process (like, which resources a process will require)
- We can determine at runtime which allocations are safe and which are unsafe
- Safe state
- If there is a safe sequence of resource assignment to process, a process $P$, can wait and receive the resource it needs from those available and those that will be freed by other process
- The system is in a safe state, if there is a safe sequence but not all sequences are safe but not all unsafe sequences lead to deadlocks
- 12drivers / 3 process
- Bankers Algorithm
- New process declare max \# of resources instances they may need
- When actually requested, system checks to see, if that request can be fulfilled, if not the process must wait
- For $n$ processes and $m$ resources

Available - vector ( $m$ ) of current, available resources
Max - matrix [n][m] - max demand
$\operatorname{Max}[i][j]$ is the most instance
$P(i)$ may request of $R(j)$
Allocation - matrix $[n][m]$ - All[i][j] \# of $R(j)$ allocated to P1
Need - matrix[n][m] - need[i][j] - \# of R(j) that P1 needs
Need(i) - row for $P(i)$ from need
Vector $\mathrm{a}<$ vector b
If for all $\mathrm{i} \mathrm{Va}[\mathrm{i}]<\mathrm{Vb}[\mathrm{i}]$
$(1,2,3)<(1,2,4)$

- Safety Algorithm
- Is this system in a safe state?
- Initialize: work [m] - work = available

Finish [ $n$ ] - finish [ i$]=$ false for all i

- 4. find an index: such that finish [i] = false

And need [i] <= work
If no such i, go to step 3

- 2. Work = set work + allocation and finish [i] = true Go to step 1
- 3. If finish [i] = true for all, then the system is safe
- Example:

| ALL | MAX | NEED |
| :--- | :--- | :--- |
| ABC | ABC | ABC |
| 010 | 753 | 743 |
| 200 | 322 | 122 |
| 302 | 902 | 600 |
| 211 | 222 | 011 |
| 002 | 433 | 431 |

Available
ABC

332
$0=$ work $[3,2,2]$ finish $=[F, F, F, F, F]$
Choose P1
Work [5,3,2] - finish = [F, T, F, F, F,]
1 = Choose P3

Work [7,4,3,] - finish $=[F, T, F, T, F]$
$2=P 0$
Work $[7,5,3]-$ finish $=[T, T, F, T, F]$
$3=P 2$
Work $[9,6,4]-$ finish $=[T, T, T, T, F]$
P4
Work $[9,6,6]-$ finish $=[T, T, T, T, T]$

- Resource Request Allocation
- A) request is the request vector for Pi
- B) if request $>$ max - error condition
C) if request > A variable - P1 must wait
- D) otherwise make a copy of the tables such that
- Available = available - request( i$)$
- Allocation(i) = allocation(i) + request $(\mathrm{i})$
- Need $=$ need( i$)-$ request $(\mathrm{i})$

■ If the resulting sate is safe (see safely), request i can be allocated and set the tables to the copies

- Request $(\mathrm{i})=(1,0,2)$

■ Available $=(2,3,0)$, Allocation $=(3,0,2)$ Need $=(0,2,0)$

## Deadlock Detection

- Want an algorithm to examine the state of our system and an algorithm to help recover
- Bankers algorithm for deadlock detection
- Available - vector [m] of the resources available
- Allocation - matrix [n][m] current requests of each process
- A) let work be a vector of $m$ and finish be a vector of $n$
- For all j if allocation( i ) ! $=0$ then finish $[\mathrm{i}]=$ false
- Otherwise finish $[\mathrm{i}]=$ true
- B) find an index I such that finish $[i]=$ false
- And request $(\mathrm{i})$ <= work
- C) set work = work + allocation(i), finish [i] = true, go to B
- D) if finish $[i]==$ false
- For some I, the system is deadlocked


## Example:

|  | Allocation | Request |
| :--- | :--- | :--- |
| P0 | 241 | 002 |
| P1 | 513 | 111 |
| P2 | 331 | 016 |

Available
001

0: work ( $0,0,1$ ), finish $[F, F, F]$
1: go to a)
2: system is deadlocked

Example:
Only available changes to 002

0 : work $=(0,0,2)$ finish $[F, F, F]$
1: PO - work $=[2,4,3]$
2: $\mathrm{P} 1-$ work $=[7,5,6] \quad$ finish $=[T, T, F]$
3: P 2 - work $=[10,8,7]$ finish $=[\mathrm{T}, \mathrm{T}, \mathrm{T}]$
System is not deadlocked

